



Policy Name	Disciplinary Policy – Less than 2 Years' Service
Policy Category	Staffing
Policy Number	S38
Date to Management Committee	May 2025
Previous Review	N/A
Next Review Date	May 2028
Links to other Policies	S01 – Terms and Conditions
Consultation	

This document will be made available in different languages and formats on request, including Braille and audio formats.

1. Introduction

The purpose of this policy is to help and encourage you to achieve and maintain standards of conduct, attendance and performance.

We need to make you aware of our expected standard of conduct, performance and attendance whilst you work for us. If you don't adhere to these standards then we will consider treating any breaches under our disciplinary procedure.

This procedure applies to all employees who have less than two years service. Thereafter a disciplinary procedure for employees with more than two year service applies.

2. General Data Protection Regulations

Knowes Housing Association Ltd will treat your personal data in line with our obligations under the current data protection regulations and our own policy [insert name of policy or procedure]. Information regarding how your data will be used and the basis for processing your data is provided in Knowes Housing Association Ltd's employee privacy notice.

3. Policy Principles

- To ensure that you know the expected standards in respect of conduct, performance and attendance while working for us.
- To manage you in accordance with the procedures detailed in the policy should they fall short of our expected standards.
- We will not take any action without giving you the chance to state your case, and a reasonable investigation has taken place
- If we think you may have committed a very serious breach of discipline we may suspend you on full pay whilst the matter is being investigated
- If any action is taken against you (other than dismissal), we will make clear standards of improvement required and the timescale within which we want this to be achieved
- You will have a right of appeal if any formal action is issued to you.

Knowes Housing Association Ltd wishes for all staff to maintain the highest possible standards of attendance, conduct and performance and our disciplinary procedure is here to help achieve this.

4. We expect that you will:

- Be honest and transparent in all aspects of work for us.
- Treat all colleagues, clients, partners and anyone in connection with working for us with respect and tolerance;
- Not abuse Knowes Housing Association Ltd's facilities;
- Not disclose confidential company information;
- Be frank and upfront about any commercial interests you may have in any business that we deal with;
- Not publish or profit from any work done within Knowes Housing Association Ltd as this belongs to us until such time as we give permission for its use;
- Not accept any gift, favour or inducement from organisations or individuals in connection with us.
- Be loyal and ask permission before taking up any other paid work – particularly if this in any way affects their ability to do their job for us;
- Follow all policies, procedures and aims regardless of any personal or political beliefs you may hold

Our separate “Code of Conduct” gives more details with respect to the standards of conduct expected from staff. If you are in any doubt staff should please speak to your line manager.

5. There are 2 stages of disciplinary procedure:

a) First Stage - Informal Action

If your performance, conduct or attendance do not meet required standards then your line manager will meet with you informally in the first instance. He/she will discuss this with you and confirm any improvements sought and help on offer by way of an improvement note.

Example offences that may result in an informal action in the first instance. The list below is not exhaustive:

- Poor timekeeping and/or attendance
- Minor breaches of our policies
- Below expected performance of your duties
- Minor insubordination
- Poor attendance

b) Second Stage - Formal Process

If there is another issue with your performance, conduct or attendance following the informal action, or if the matter is serious, then your line manager will investigate into this

and may invite you to a formal meeting. This meeting may result in termination of your contract of employment.

You will be invited to this meeting in writing and given the right to be accompanied by a workplace colleague or a trade union rep. You will also be informed of the outcome in writing and given the right to appeal.

6. Records

We will keep records on your personal file of any disciplinary action, which will only be seen by you, your line manager and the senior officer if appropriate. It is the responsibility of your manager to make sure that disciplinary warnings are removed from your file when relevant.

7. Appeals

You have the right of appeal against any formal disciplinary action. We will tell you in writing when and how you can use this right when the warning is issued. The letter will detail who you must write too, to lodge your appeal and within what timeframe. No person involved in the original disciplinary decision should take part in the appeals hearing unless it is not possible to avoid this

At all levels, appeal hearings will be entitled to:

- confirm previous action;
- dismiss previous action; or
- substitute a lesser penalty.

The outcome of the appeal hearing is final.

8. Equalities Statement

Through this policy, no member of staff will be discriminated against on grounds of sex or marital status, on racial grounds, or on grounds of disability, age, sexual orientation, language or social origin, or of other personal attributes, including beliefs or opinions, such as religious beliefs or political opinions.

9. Policy Review

This policy will be reviewed every 3 years or sooner if required.